# **EXHIBIT A**

STATE OF TENNESSEE	T T		CASE FILE NUMBER
19th JUDICIAL DISTRICT	SUMMONS		74001-2013-04-330
CIRCUIT COURT PLAINTIFF		DEFENDANTS	190012000000
JIMMIE MARIE CLAY, by he and Next Friend, WILLIA		GGNSC SPRINGFIELD d/b/a GOLDEN LIVI GOLDEN GATE NATIO individually and ADMINISTRATIVE SE and d/b/a GOLDEN ANCILLARY LLC, in INNOVATIONS; GGNS individually and SERVICES; GGNSC H	LLC, individually and NGCENTER SPRINGFIELD; NAL SENIOR CARE LLC, d/b/a GOLDEN LIVING; GGNSC RVICES LLC, individually VENTURES; GOLDEN GATE dividually and d/b/a GOLDEN C CLINICAL SERVICES, LLC, d/b/a GOLDEN CLINICAL OLDINGS LLC, individually HORIZONS; and GGNSC EQUITY
TO: (NAME AND ADDRESS	OF DEFENDANT)		
TENNESSEE, YOUR DEFENSE SERVED UPON YOU, YOU MU	a separate summons.  END A CIVIL ACTION FIL  MUST BE MADE WITHI	ED AGAINST YOU IN CIP THIRTY (30) DAYS F WITH THE CLERK OF TH	Method of Service:  * Certified Mail  Robertson Co. Sheriff  *Comm. of Insurance  *Secretary of State  *Out of County Sheriff  Private Process Server  Other  *Attach Required Fees  *CUIT COURT, ROBERTSON COUNTY,  ROM THE DATE THIS SUMMONS IS  E COURT AND SEND A COPY TO THE  *EFEND THIS ACTION BY THE ABOVE
DATE, JUDGMENT BY DEFAUL	I CAN DE KENDEKED AGA	INST TOO FOR THE KELL	EF SOUGHT IN THE COMPLAINT.
Attorney for plaintiff or plaintiff if (Name, address & telephone number)  John L. Norris, Esq.  NORRIS & NORRIS PLC  Fifth Third Center  424 Church Street, Suite 1300  Nashville, Tennessee 37219  (615) 627-3959	Li	ILED, ISSUED & ATTESTED  July 26, 20 Isa M. Cavender, CIRCUIT C  y:  Deputy Clar  Springfield,	a England DC
TO THE SHERIFF:		DATE RECEIVED  Sheriff	
		**************************************	

\*\*\*Submit one original plus one copy for each defendant to be served.

RETURN ON SERVICE	E OF SUMMONS
I hereby return this summons as follows: Observe & Donney Co.	
I hereby return this summons as follows: (Name of Party Served)	
□ Served	□ Not Found
□ Not Served	□ Other
DATE OF RETURN:	
DATE OF RETURN:	•
S	heriff/or other authorized person to serve process
RETURN ON SERVICE O	F SUMMONS BY MAIL
I hereby certify and return that on the day of	, 20, I sent, postage prepaid, by registered return
receipt mail or certified return receipt mail, a certified copy of the summ	ons and a copy of the complaint in case
to the defendant On the day of	of .20 .1 received the
return receipt, which had been signed by	on the day of
20 The return receipt is attached to this original summons to be filed	
Sworn to and subscribed before me on this day of	Signature of plaintiff, plaintiff's attorney or other person
, 20	authorized by statute to serve process.
signature of Notary Public or Deputy Clerk	
My Commission Expires:	
NOTICE OF PERSONAL	
PROPERTY EXEMPTION TO THE DEFENDANT(S):	
Tennessee law provides a four thousand dollar (\$4,000,00)	
debtor's equity interest personal property exemption from execution or	
seizure to satisfy a judgment. If a judgment should be entered against	
you in this action and you wish to claim property as exempt, you must file a written list, under oath, of the items you wish to claim as exempt	
with the clerk of the court. The list may be filed at any time and may	
be changed by you thereafter as necessary; however, unless it is filed	
before the judgment becomes final, it will not be effective as to any execution or garnishment issued prior to the filing of the list. Certain	
items are automatically exempt by law and do not need to be listed;	ATTACH
these include items of necessary wearing apparel (clothing) for yourself	RETURN
and your family and trunks or other receptacles necessary to contain such apparel, family portraits, the family Bible, and school books.	RECEIPT
Should any of these items be seized you would have the right to	HERE
recover them. If you do not understand your exemption right or how to	(IF APPLICABLE)
exercise it, you may wish to seek the counsel of a lawyer.	
Mail list to: Circuit Court Clerk	
Robertson County Courthouse, Room 206	
Springfield, TN 37172	-
Please state file number on list.	
CERTIFICATION (IF	APPLICABLE)
I, Lisa M. Cavender, Circuit Court Clerk of the Circuit Court in the	Lisa M. Cavender, Circuit Court Clerk
State of Tennessee, Robertson County, do certify this to be a true and	Ву:
correct copy of the original summons issued in this case.	D.C.
	D.C.

## IN THE CIRCUIT COURT OF ROBERTSON COUNTY, TENNESSEE

JIMMIE MARIE CLAY, by her Co-Conservator and Next Friend, WILLIAM S. CLAY	) )
Plaintiff,	)
vs.	) No. <u>74Cc1-2013-Cv-</u> 336
GGNSC SPRINGFIELD LLC, individually and d/b/a GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, individually and d/b/a GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, individually and d/b/a GOLDEN VENTURES; GOLDEN GATE ANCILLARY LLC, individually and d/b/a GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, individually and d/b/a GOLDEN CLINICAL SERVICES; GGNSC HOLDINGS LLC, individually and d/b/a GOLDEN HORIZONS; and GGNSC EQUITY HOLDINGS LLC,	JURY DEMAND  FILED  LISA M. CAVENDER, CLERK  JUL 26 2013  AT 30 O'CLOCK P- M  BYS England OC
Deteriudits.	)

### COMPLAINT

Comes the plaintiff and for cause of action against the defendants states as follows:

### INTRODUCTION

1. This case arises out of injuries suffered by Jimmie Marie Clay while a resident/patient of Golden LivingCenter Springfield, a nursing home in Springfield, Robertson County, Tennessee.

### PARTIES

- 2. William S. Clay is the Co-Conservator and Next Friend of Jimmie Marie Clay (hereinafter "Jimmie Clay" or "Mrs. Clay"), his mother, and brings this action for Mrs. Clay, who is incompetent. William S. Clay resides in Franklin, Williamson County, Tennessee. Jimmie Marie Clay is domiciled in Davidson County, Tennessee but currently resides in a nursing home in Robertson County, Tennessee.
- 3. The defendant GGNSC Springfield LLC is a Delaware limited liability company whose registered agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden LivingCenter Springfield.
- 4. The defendant Golden Gate National Senior Care LLC is a Delaware limited liability company whose registered agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden Living.
- 5. The defendant GGNSC Administrative Services LLC is a Delaware limited liability company whose registered agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden Ventures.
- 6. The defendant Golden Gate Ancillary LLC is a Delaware limited liability company whose agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden Innovations.

- 7. The defendant GGNSC Clinical Services, LLC is a Delaware limited liability company whose registered agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden Clinical Services.
- 8. The defendant GGNSC Holdings LLC is a Delaware limited liability company whose agent for service of process is Corporation Service Company, 2908 Poston Avenue, Nashville, Tennessee 37203-1312. At all times relevant to this lawsuit, said defendant did business as Golden Horizons.
- 9. The defendant GGNSC Equity Holdings LLC is a Delaware limited liability company whose registered agent for service of process in the State of Arkansas is Corporation Service Company, 300 Spring Building, Suite 900, 300 South Spring Street, Little Rock, Arkansas 72201. The principal place of business of said defendant is in the State of Arkansas.
- 10. At all times relevant to this lawsuit, the defendants collectively owned, operated, managed, staffed and controlled Golden LivingCenter Springfield, the nursing home at which Jimmie Clay resided at the time she sustained the injuries giving rise to this lawsuit.

### JURISDICTION AND VENUE

11. This cause arises out of injuries that occurred at a nursing home in Springfield, Robertson County, Tennessee. All defendants had contacts of a continuous and systematic nature with the State of Tennessee, and all defendants except GGNSC Equity Holdings LLC were registered to do business in the State of

Tennessee. Additionally, activities of each defendant in the State of Tennessee gave rise, in whole or in part, to the claim that is the subject of this lawsuit against that defendant. This Court has subject matter jurisdiction over this cause of action and personal jurisdiction over all defendants. Additionally, this Court is a proper venue for this cause of action.

### FACTUAL BACKGROUND

- Jimmie Clay was an 88 year old resident of Davidson County, 13. Tennessee when she was admitted to Golden LivingCenter Springfield (hereinafter "Golden Living"), a nursing home in Springfield, Robertson County, Tennessee on or about October 29, 2007. Jimmie Clay is and has been, continuously from before the time the cause(s) of action described below accrued through the present, severely mentally and physically impaired, of unsound mind and adjudicated incompetent. Mrs. Clay was and is cognitively impaired, mentally incompetent and entirely, or nearly entirely, immobile. Owing to her mental and physical limitations, she was incapable of discovering the nature and extent of her injuries, that her injuries were caused by defendants' wrongful conduct or the identity of the defendants. Moreover, if any information whatsoever was provided to Mrs. Clay about the pressure sore on her left hip described below, it was not sufficient to place a reasonable person on notice that her pressure sore was the result of defendants' wrongful conduct. Defendants knowingly and fraudulently concealed the cause, nature and extent of Mrs. Clay's injuries from Mrs. Clay and from her children.
- 14. While Jimmie Clay was a resident/patient of Golden Living, she suffered multiple injuries. On July 12, 2012, Mrs. Clay was found in bed at Golden

Living with facial injuries, including a laceration and puncture wounds to her chin. Sutures were required. Mrs. Clay was enfeebled, immobile and could not have inflicted these injuries on herself. Rather, they were inflicted by another resident/patient.

- 15. In spite of her immobility, Mrs. Clay suffered multiple falls at Golden Living. For example, she was found on the floor next to her bed on July 22, 2012. Two days later, on July 24, 2012, Mrs. Clay was found with her torso hanging off the right side of the bed, her hips against the side rails and her head and shoulder on the floor. She had a head injury and was taken to the hospital.
- 16. Defendants engaged in a pattern of neglect toward Jimmie Clay. This included regularly and routinely failing to follow physician orders and not providing essential services to Mrs. Clay, including failing to reposition her as ordered to prevent the development and worsening of pressure sores or to administer treatments as ordered.
- 17. Defendants failed to provide sufficient numbers of adequately trained staff to properly care for Mrs. Clay and other residents/patients. Defendants had actual knowledge that residents/patients of Golden Living, including Jimmie Clay, were not receiving necessary care but made a conscious business decision not to increase the level of staffing.
- 18. As a result of not being provided necessary care, Mrs. Clay experienced skin breakdown at Golden Living.
- 19. For example, Mrs. Clay was noted on February 23, 2012 to have a pressure sore measuring 2.2 centimeters in length and 3.1 centimeters in width on

her left hip. On April 4, 2012, the pressure sore was 1.5 centimeters in length and 2.3 centimeters in width, with no depth, no exudate and no odor. Defendants regularly and routinely failed to reposition Mrs. Clay to relieve pressure on her left hip and prevent the worsening of this pressure sore. Defendants also failed to administer treatments as ordered by the treating physician. On May 22, 2012, this pressure sore was measured at 2 centimeters in length, 2 centimeters in width and 0.1 centimeters in depth. There was scant exudate and no odor. By July 16, 2012 this pressure sore measured 5.3 centimeters in length, 4.5 centimeters in width and had a depth of 3 centimeters. There was heavy yellow exudate and an odor. After debridement, this pressure sore measured 3.7 centimeters in depth.

20. On or about July 26, 2012, Mrs. Clay was admitted to NorthCrest Medical Center. She was noted to have a Stage IV pressure sore on her left hip. Mrs. Clay was discharged from NorthCrest Medical Center to a different nursing home, NHC HealthCare Springfield ("NHC") on July 31, 2012. Her Stage IV pressure sore on her left hip was noted and treated. The pressure sore on her left hip improved dramatically at NHC. By October 20, 2012, the pressure measured 1.5 centimeters in length, 1 centimeter in width and 2 centimeters in depth. This improvement shows that the pressure sore would respond to appropriate treatment.

#### **AGENCY**

21. Each allegation against each defendant includes that defendant's agents and employees acting in the course and scope of their agency and/or employment. Defendants are liable for the actionable conduct of their agents and employees under the doctrine of *respondeat superior* and principles of agency.

### DUTY OF GOLDEN LIVING

22. Independent of its agents and employees, Golden Living, including defendants who collectively owned, operated, managed, staffed and controlled Golden Living, owed a duty of reasonable care to its residents/patients such as Jimmie Clay.

### CAUSES OF ACTION

### Medical Negligence

- 23. In their care and treatment of Jimmie Clay, the defendants carelessly and negligently failed to comply with the recognized standard of acceptable professional practice ("Standard of Care") for nursing homes in the community in which Golden Living is located or in a similar community at the time the injuries to Jimmie Clay and defendants' wrongful actions occurred in that they:
  - a. Negligently failed to prevent pressure sores from developing and worsening and negligently failed to provide necessary care and services to promote healing once there had been a breakdown of Mrs. Clay's skin;
  - b. Negligently failed to provide care ordered by the treating physician, including failing to change Mrs. Clay's position to relieve pressure and failing to administer treatments as ordered;
  - c. Negligently failed to inform Mrs. Clay's children of significant changes in their mother's condition:
  - Negligently failed to timely refer Mrs. Clay to an appropriate wound care specialist;

- Negligently failed to properly assess, evaluate, treat and care for Mrs. Clay;
- f. Negligently failed to provide sufficient nursing staff, including certified nursing assistants, to provide necessary care and services, including repositioning and treatments, to Mrs. Clay;
- g. Negligently failed to adequately train and supervise its nursing staff;
- Negligently failed to adopt and/or enforce policies and procedures sufficient to ensure that Mrs. Clay received necessary care and services;
- h. Negligently failed to protect Mrs. Clay from an assault by another resident/patient; and
- Negligently failed to prevent Mrs. Clay from falling repeatedly in spite of her total or near-total immobility.

### CAUSATION AND DAMAGES

- 24. Jimmie Clay suffered serious, painful injuries as a proximate result of defendants' medical negligence described above. She suffered injuries which would not otherwise have occurred. Plaintiff seeks all damages recoverable under Tennessee law for Jimmie Clay's injuries, including pain and suffering, loss of enjoyment of life, medical expenses and disfigurement.
- 25. This is one of those most egregious of cases in which an award of punitive damages is necessary to punish defendants for their misconduct and to

deter others from similar misconduct. Defendants acted maliciously, intentionally, fraudulently and/or recklessly in their care of Jimmie Clay.

### COMPLIANCE WITH T.C.A. § 29-26-121(a)

26. Plaintiff has fully complied with the requirements of T.C.A. § 29-26-121(a). Attached as Exhibit 1 is the Affidavit of Debbie Wells dated July 2, 2013 with attached copies of the notice letters, including enclosures, and with Certificates of Mailing, Certified Mail Receipts and Return Receipts demonstrating the mailing of notice letters and all required information and documents to all defendants and the receipt of a notice letter and all required information and documents by each defendant. Exhibit 1 demonstrates mailing and delivery of the notice letters and all required information and documents to all defendants pursuant to T.C.A. § 29-26-121(a). This action is being filed more than sixty (60) days after notice was given to each defendant. This action is also being filed within 120 days of the date notice was given to all defendants in full compliance with T.C.A. § 29-26-121(a). This action is timely.

### COMPLIANCE WITH T.C.A § 29-26-122

- 27. A Certificate of Good Faith is filed contemporaneously with this Complaint pursuant to the provisions of T.C.A. § 29-26-122. In accordance with the foregoing statute, plaintiff's counsel John L. Norris states and certifies as follows:
  - a. he has consulted with one (1) or more experts who have provided a signed written statement confirming that upon information and belief they:

- (i) are competent under T.C.A. § 29-26-115 to express opinions in this case; and
- (ii) believe based upon the information available and from the medical records concerning the care and treatment of Jimmie Marie Clay for the incident at issue that it is a good faith basis to maintain the action consistent with the requirements of T.C.A. § 29-26-115.
- Plaintiff's counsel John L. Norris has zero (0) prior violations of T.C.A. § 29-26-122.

### PRAYER FOR RELIEF

Wherefore, plaintiff prays for and demands the following:

- a. That process issue and be served upon defendants;
- b. That a jury be empanelled to try this cause;
- c. That plaintiffs be awarded compensatory damages from the defendants, and each of them, in an amount not to exceed One Million Dollars and 00/100 (\$1,000,000.00);
- d. That plaintiffs be awarded punitive damages from the defendants, each of them, in an amount not to exceed Two Million Dollars (\$2,000,000.00);
- f. Attorney's fees;
- g. Discretionary and other costs:
- h. Post-judgment interest; and

Such other relief to which plaintiffs may be entitled.

John L. Norris (BPR #6007)

NORRIS & NORRIS, PLC

Fifth Third Center

424 Church Street, Suite 1300 Nashville, Tennessee 37219

(615) 627-3959

Rocky McElhaney (BPR #20205)

Russell Belk (BPR #27960)

ROCKY MCELHANEY LAW FIRM Music Row, 1516 16th Avenue South

Nashville, Tennessee 37212

(615) 425-2500

## **EXHIBIT 1**

IN	RE:			)
		JIMMIE MARIE	CLAY	í
		Date of Birth:	January 5, 1919	Y
		Notice required	by T.C.A. § 29-26-121(a)	Ý

### AFFIDAVIT OF DEBBIE WELLS

Debbie Wells, after first being duly sworn, states as follows:

- 1. I have personal knowledge of the matters stated in this affidavit.
- I am employed by the law firm of Norris & Norris PLC.
- On May 9, 2013, I mailed multiple notice letters, each with attachments, 3. by Certified Mail, return receipt requested and with a certificate of mailing for each letter. Four (4) identical notice letters, with attachments, were addressed and mailed to GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield at the address of its physical location, the address of its registered agent, its principal address listed with the Tennessee Secretary of State and the mailing address listed with the Tennessee Secretary of State for its principal office; Three (3) identical notice letters, with attachments, were addressed and mailed to Golden Gate National Senior Care LLC, individually and d/b/a Golden Living at the address of its registered agent, its principal address listed with the Tennessee Secretary of State and the mailing address listed with the Tennessee Secretary of State for its principal office; Three (3) identical notice letters, with attachments, were addressed and mailed to GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures at the address of its registered agent, its principal address listed with the Tennessee Secretary of State and the mailing address listed with the Tennessee Secretary of State for its principal office; Three (3) identical notice letters, with

attachments, were addressed and mailed to Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations at the address of its registered agent, its principal address listed with the Tennessee Secretary of State and the mailing address listed with the Tennessee Secretary of State for its principal office; Three (3) identical notice letters, with attachments, were addressed and mailed to GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services at the address of its registered agent, its principal address listed with the Tennessee Secretary of State and the mailing address listed with the Tennessee Secretary of State for its principal office; Three (3) identical notice letters, with attachments, were addressed and mailed to GGNSC Holdings LLC, individually and d/b/a Golden Horizons at the address of its registered agent, its principal address listed with the Tennessee Secretary of State for its principal office; and Two (2) identical notice letters, with attachments, were addressed and mailed to GGNSC Equity Holdings LLC at the address of its registered agent and the address listed with the Arkansas Secretary of State for its principal office.

4. Working together, a co-worker and I placed the letters and attachments in envelopes, each of us making sure that each letter (and attachments) was placed in the correct envelope. I took the sealed letters to the U.S. Post Office located in the Arcade, Nashville, Tennessee. One of the postal clerks there assisted me in the mailing and provided me with a certificate of mailing for each of the letters. The Certified Mail Receipts for the letters sent by Certified Mail, with the Certificate of Mailing completed for each of the addresses, are attached. Copies of each of the

notice letters, with attachments, are also attached. Each of these notice letters was mailed by me as described above on May 9, 2013.

5. The return receipt cards showing delivery of these letters are also attached.

This day of July, 2013.

Debbie Wells

STATE OF TENNESSEE

COUNTY OF DAVIDSON COUNTY OF DAV

Community Con C

NOTARY PURI IC

JOHN L. NORRIS john@norrIslaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

### NORRIS & NORRIS PLC

FIFTH THIRD CENTER
424 CHURCH STREET, SUITE 1300
NASHVILLE, TENNESSEE 37219-2301
(615) 627-3959
(615) 627-3962 FAX
WWW.norrislaw.net

BARBARA MOSS of counsel bmoss@norrislaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debbie Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures 1000 Fianna Way
Fort Smith, AR 72919-9008

GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures c/o Corporation Service Company 2908 Poston Avenue
Nashville, TN 37203-1312

GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE: Patient whose treatment is at issue:

Name, address and relationship to the patient of the claimant:

Jimmie Marie Clay

Date of Birth: January 5, 1919

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay

197 London Lane Franklin, TN 37067

Dear GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant medical authorization, with attached Order Appointing Co-Conservators, permitting

GGNSC Administrative Services, LLC, individually and d/b/a Golden Ventures May 9, 2013

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each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS PLC

John L. Norris

JLN/mll

Enclosures: As stated.

# LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

The below is a list of all healthcare providers to whom notice is being given, pursuant to T.C.A. Section 29-26-121(a), of a potential claim for medical malpractice:

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road Springfield, TN 37172	GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, 'AR 72919-0001
GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate National Senior Care LLC, individually and d/b/a Golden Living c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Administrative Services LLC individually and d/b/a Golden Ventures 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008
GGNSC Administrative Services LLC individually and d/b/a Golden Ventures c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 1000 Fianna Way Fort Smith, AR 72919-9008

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	GGNSC Holdings LLC, individually and d/b/a Golden Horizons c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Equity Holdings LLC 1000 Fianna Way Fort Smith, AR 72919
GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201	

## HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Honzons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

You are authorized to furnish this information even though the confidentiality of the information may be protected by Federal or State laws and regulations.

The purpose of the release of Jimmie Marie Clay's records is for review by GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC, for which I am granting my authorization. THIS AUTHORIZATION DOES NOT PERMIT YOU TO DISCUSS THESE MATTERS WITH ANY REPRESENTATIVE OF GGNSC SPRINGFIELD LLC, INDIVIDUALLY AND D/B/A GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, INDIVIDUALLY

AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5-9.2013

SIGNED: William S. Clar Co-Conservator and next friend of Jimmie Marie Clay

## IN THE SEVENTH CIRCUIT COURT FOED AVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF:

RICHARD ROTHER, CLERK

2013 MAY -8 .PH 2: 34

NO. 13P-671

JIMMIE MARIE CLAY

### ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 7. Upon review of the affidavit filed by Christina Norris, attorney for Petitioners, Ms. Norris is awarded \$\_\_\_\_\_\_ for her services, to be charged to the property of Jimmie Marie Clay to be paid as funds may become available.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$ 600.00 for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds may ( mail Clay 45 funds may become available.

ENTERED this 8th day of May, 2013.

RANDY KENNEDY, JUDG

APPROVED FOR ENTRY:

NOBRIS & NORRIS PLC

Christina Norris No. 6599

John L. Norris No. 6007

Fifth Third Center

424 Church Street, Suite 1300/

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filed in my office

riginal instrument filed in my office Chay of 19 20 13

Doplity Clark

### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37076

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave; Gallatin, TN 37066 Guardian ad Litem

Christina Norris

SEVENTH CIRCUIT COURT OF DAVIDSON COUNTY, TENNESSEE

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that Letters issue:

THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation thereto as may be deemed necessary and proper and in accordance with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to the Property Management Plan approved and adopted by the Court and time, make and prepare a Final Settlement of your accounts in this matter. HEREIN FAIL NOT.

Order of MAY Without Bond

STATE OF TENNESSEE COURT

COUNTY OF DAVIDS N. COURT

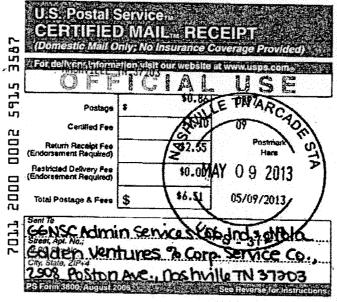
COUNTY OF DAVIDS N. COURT

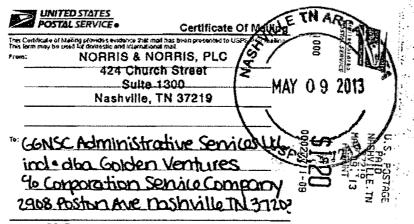
COUNTY OF DAVIDS N. COURT

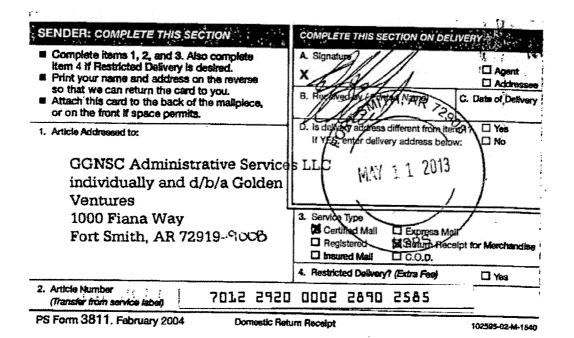
I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the records in my office at Nashville, Tennessee, this

Richard R. Rooker, Clerk

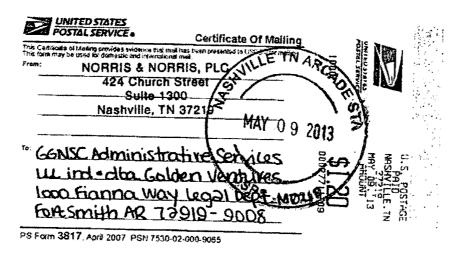












Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.  Print your name and address on the reverse so that we can return the card to you.  Attach this card to the back of the mailpiece, or on the front if space permits.  Article Addressed to:  GGNSC Administrative Services LL.	A. Signature  X. Charles   Agent   Addressee  B. Received by (Printed Name)   Charles of Delivery  Charles   Charles
individually and d/b/a Golden Ventures 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	3. Service Type  St. Certified Meli
2. Article Number	4. Restricted Delivery? (Extra Fee)
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PS Form 3817, April 2007 PSN 7530-02-000-9065

Plano TX 75024-7111

JOHN L NORRIS john@norrislaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

### NORRIS & NORRIS PLC

FIFTH THIRD CENTER
424 CHURCH STREET, SUITE 1300
NASHVILLE, TENNESSEE 37219-2301
(615) 627-3959
(615) 627-3962 FAX
www.norrislaw.net

BARBARA MOSS of counsel bmoss@norrislaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debble Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 1000 Fianna Way Fort Smith, AR 72919-9008

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE: Patient whose treatment is at issue:

Name, address and relationship to the patient of the claimant:

Jimmie Marie Clay

Date of Birth: January 5, 1919

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay

197 London Lane Franklin, TN 37067

Dear GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services May 9, 2013

Page - 2 -

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant medical authorization, with attached Order Appointing Co-Conservators, permitting each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS PLC

oh L. Norris

JLN/mll

Enclosures: As stated.

# LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

The below is a list of all healthcare providers to whom notice is being given, pursuant to T.C.A. Section 29-26-121(a), of a potential claim for medical malpractice:

	in the state of th
GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road Springfield, TN 37172	GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, AR 72919-0001
GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate National Senior Care LLC, individually and d/b/a Golden Living c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Administrative Services LLC individually and d/b/a Golden Ventures 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008
GGNSC Administrative Services LLC individually and d/b/a Golden Ventures c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 1000 Fianna Way Legal Dept MD 4824 Fort Smith, AR 72919-9008	Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 1000 Fianna Way Fort Smith, AR 72919-9008

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	GGNSC Holdings LLC, individually and d/b/a Golden Horizons c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Equity Holdings LLC 1000 Fianna Way Fort Smith, AR 72919
GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201	

## HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations: GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

You are authorized to furnish this information even though the confidentiality of the information may be protected by Federal or State laws and regulations.

The purpose of the release of Jimmie Marie Clay's records is for review by GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC, for which I am granting my authorization. THIS AUTHORIZATION DOES NOT PERMIT YOU TO DISCUSS THESE MATTERS WITH ANY REPRESENTATIVE OF GGNSC SPRINGFIELD LLC, INDIVIDUALLY AND D/B/A GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, INDIVIDUALLY

AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5-9-2013

William'S. Clay, Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FORD AVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF: 2013 MAY -8 PH 2: 34 RICHARD AND MER, CLERK

JIMMIE MARIE CLAY

NO. 13P-671

## ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 7. Upon review of the affidavit filed by Christina Norris, attorney for Petitioners, Ms. Norris is awarded \$ \( \lambda \lambd
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\(\frac{1}{200.00}\) for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds may become available.

ENTERED this 8th day of May, 2013.

RANDY KENNEDY, JUDO

APPROVED FOR ENTRY:

MORRIS & MORRIS PLC

Christina Norris No. 6599

John L. Norris No. 6007

Fifth Third Center

424 Church Street, Suite 1300

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filed in my office

s day of both 20 13 HIOUAFILE ADOMA SHOW

Deputy Clerk

#### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37076

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

Christina Nomis

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that

THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation thereto as may be deemed necessary and proper and in accordance with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to the Property Management Plan approved and adopted by the Court and make the necessary increases to the bond as required and at such time, make and prepare a Final Settlement of your accounts in this matter. HEREIN FAIL NOT.

Order of MAY S 1011

Order of Tennesser Court

County OF DAVIDSON COURT

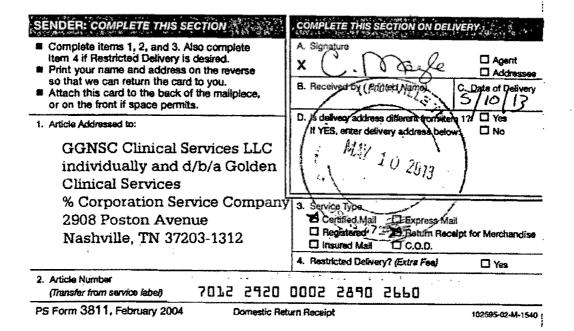
Office, this State Clerk of the Probate Court, at Richard R. Rooker, Clerk

Richard R. Rooker, Clerk

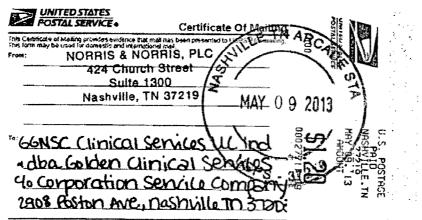
D.C.

I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the peroffs in my office at Nashville, Tennessee, this day of the Rooker, 20

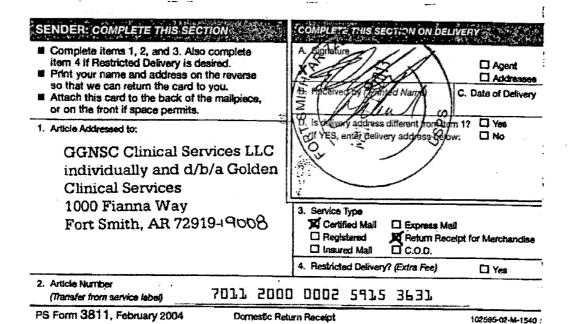
Richard R. Rooker, Clerk

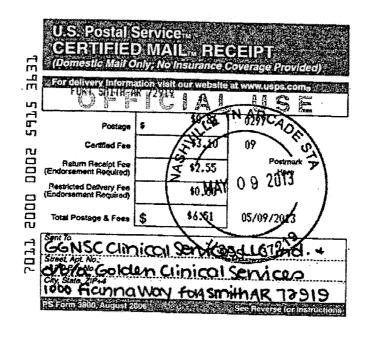


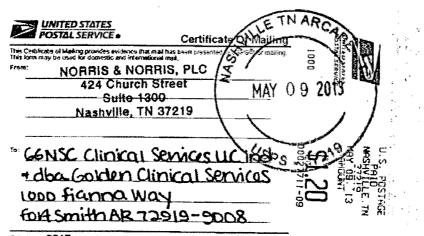




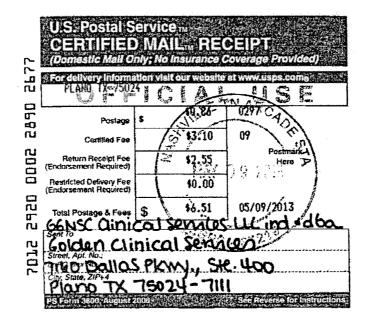
PS Form 3817, April 2007 PSN 7530-02-000-9065

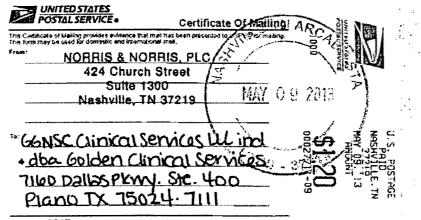






#### COMPLETE THIS SECTION ON DELIVERY SENDER: COMPLETE THIS SECTION Complete items 1, 2, and 3. Also complete **Z** Agent item 4 if Restricted Delivery is desired. Address Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailplece, Brown or on the front if space permits. D. le delivery address different from item 1? 1. Article Addressed to: If YES, enter delivery address below: Golden Gate Clinical Services LLC individually and d/b/a Golden Clinical Services 3. Service Type D Express Mail 7160 Dallas Parkway, Suite 400 Certified Mail Return Receipt for Merchandise Plano, TX 75024-7111 ☐ Registered ☐ Insured Mail 4. Restricted Delivery? (Extra Fee) ☐ Yes 2. Article Number 7012 2920 0002 2890 2677 (Transfer from service label) PS Form 3811, February 2004 Domestic Return Receipt 102595-02-M-1540 :





PS Form 3817, April 2007 PSN 7530-02-000-9065

JOHN L NORRIS John@norristaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

#### NORRIS & NORRIS PLC

FIFTH THIRD CENTER
424 CHURCH STREET, SUITE 1300
NASHVILLE, TENNESSEE 37219-2301
(615) 627-3959
(615) 627-3962 FAX
WWW.norrislaw.net

BARBARA MOSS Of counsel bmoss@norrislaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debbie wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008

Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312

Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE:

Patient whose treatment is at issue:

Jimmie Marie Clay Date of Birth: January 5, 1919

Name, address and relationship to the patient of the claimant:

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay

197 London Lane Franklin, TN 37067

Dear Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Mane Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant medical authorization, with attached Order Appointing Co-Conservators, permitting

Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations May 9, 2013  $\,$ 

Page - 2 -

each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS PLC

hn L. Norris

JLN/mll

Enclosures: As stated.

## LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

The below is a list of all healthcare providers to whom notice is being given, pursuant to T.C.A. Section 29-26-121(a), of a potential claim for medical malpractice:

CONCCC			
GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road Springfield, TN 37172	GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, AR 72919-0001		
GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111		
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate National Senior Care LLC, individually and d/b/a Golden Living c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312		
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Administrative Services LLC individually and d/b/a Golden Ventures 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008		
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Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312		
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GGNSC Holdings LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Equity Holdings LLC 1000 Fianna Way Fort Smith, AR 72919
GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201	

## HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

You are authorized to furnish this information even though the confidentiality of the information may be protected by Federal or State laws and regulations.

The purpose of the release of Jimmie Marie Clay's records is for review by GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC, for which I am granting my authorization. THIS AUTHORIZATION DOES NOT PERMIT YOU TO DISCUSS THESE MATTERS WITH ANY REPRESENTATIVE OF GGNSC SPRINGFIELD LLC, INDIVIDUALLY AND D/B/A GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, INDIVIDUALLY

AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5-9-2013

William S. Claff Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FOR DAVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF:

JIMMIE MARIE CLAY

2013 MAY -8 PM 2: 34

NO. 13P-671

#### ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed hy Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 7. Upon review of the affidavit filed by Christina Norris, attorney for Petitioners, Ms. Norris is awarded \$ / 811. To for her services, to be charged to the property of Jimmie Marie Clay to be paid as funds may become available.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\_600.00 for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds may come available.

ENTERED this \_ g 44 day of May, 2013.

APPROVED FOR ENTRY:

Christina Norris No. 6599 John L. Norris No. 6007

Fifth Third Center

424 Church Street, Suite 1300

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy

#### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37078

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

Christina Norris

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that

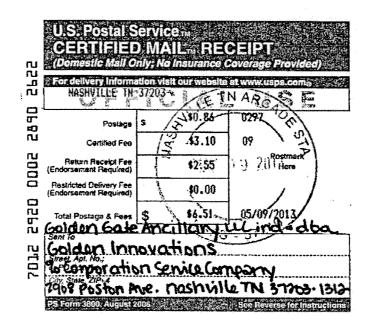
THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to make the necessary increases to the bond as required and at such matter. HEREIN FAIL NOT.

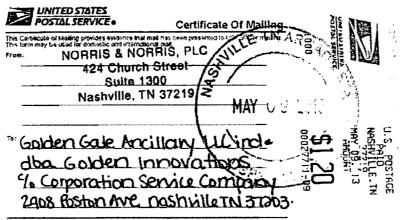
Order of MAY 3 1013 Richard R. Rooker, Clerk Without Bond COUNTY OF DAVIDSON COURTC.

I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the records in my office at Nashville, Tennessee, this

Richard R. Rooker, Clerk

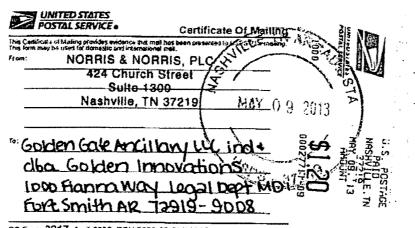
SENDER: COMPLETE THIS SE	CTION	COMPLETE THIS SEC	TION ON DELI	VERY.
<ul> <li>Complete Items 1, 2, and 3. Alsitem 4 if Restricted Delivery is of Print your name and address of so that we can return the card.</li> <li>Attach this card to the back of or on the front if space permits.</li> </ul>	desired. n the reverse to you. the mailpiece,	A. Signature  X  B. Hackived by (Prints		Agent  Addressee  C. Date of Delivery  Show 13
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% Corporation Services 2908 Poston Aven Nashville, TN 3720	ue	S Service Type  Go Cartified Mail  Registered  Insured Mail	Express Mai Return Rece C.O.D.	il slpt for Merchandise
		4. Restricted Delivery	? (Extra Fee)	☐ Yes
Article Number     (Transfer from service label)	3075 5450	0002 2890	5P55	
PS Form 3811, February 2004	Domestic Rel	um Receipt		102595-02-M-1540

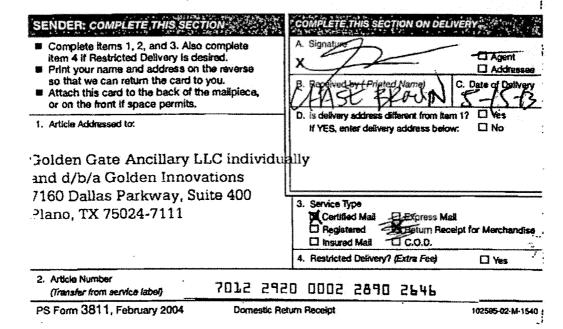




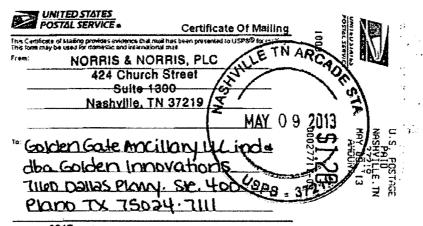


U.S. Postal Service RTIFIED MAIL RECEIP ES 26 2890 \$0:86 Postage 153.10 Certified Fee Return Receipt Fee (Endorsement Required) Restricted Delivery Fee (Endorsement Required) 10.00 \$6.51 05/09/2013 ostage & Fees Flanna Way, legal Dept. MD 4824 for smith AR 72919-19008









JOHN L. NORRIS john@norrislaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

### NORRIS & NORRIS PLC

FIFTH THIRD CENTER 424 CHURCH STREET, SUITE 1300 NASHVILLE, TENNESSEE 37219-2301 (615) 627-3959 (615) 627-3962 FAX www.norrislaw.net

BARBARA MOSS Of Counsel bmoss@norrIslaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debbie Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road

Springfield, TN 37172

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, AR 72919-0001

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE:

Patient whose treatment is at issue:

Jimmie Marie Clay

Date of Birth: January 5, 1919

Name, address and relationship to the patient of the claimant:

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clav

197 London Lane Franklin, TN 37067

Dear GGNSC Springfield, LLC, individually and d/b/a Golden Living Center

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against GGNSC Springfield, LLC, individually and d/b/a Golden LivingCenter Springfield arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant

GGNSC Springfield, LLC, individually and d/b/a Golden Living Center Springfield May 9, 2013 Page - 2-

medical authorization, with attached Order Appointing Co-Conservators, permitting each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS PLC

John L. Norris

JLN/mll

Enclosures: As stated.

# LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

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	medical maiblactice:
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# HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Honzons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

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AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

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I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5-09-8013

William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FOR DAVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF: 2013 MAY -8 PM 2: 34 RICHARD ROTHER. CLERK

JIMMIE MARIE CLAY

NO. 13P-671

#### ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\(\begin{align\*} \long 0.00.\\ \text{si} \end{align\*} \] for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds may charter for the property of the prop

ENTERED this 8 that day of May, 2013.

RANDY KENNEDY, JUDG

APPROVED FOR ENTRY:

NOTHIS & NORRIS PLC

Christina Norris No. 6599 John L. Norris No. 6007

Fifth Third Center

424 Church Street, Suite 1300

Nashville, TN 37219 (615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filed in my office

day of Journey House

Deputy Clark

#### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37076

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

Christina Norris

SEVENTH CIRCUIT COURT OF DAVIDSON COUNTY, TENNESSEE

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that

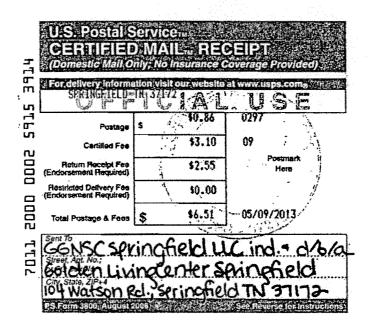
THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation thereto as may be deemed necessary and proper and in accordance with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to the Property Management Plan approved and adopted by the Court and make the necessary increases to the bond as required and at such time, make and prepare a Final Settlement of your accounts in this

Order of MAY ( 1013 Property Clerk of the Probate Court, at Without Bond COURT COUNTY OF DAVIDSON COURT C. CLERK Of the Probate Court, at Richard R. Rooker, Clerk Of Tennessay, D.C.

I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the performs in my office at Nashville, Tennessee, this

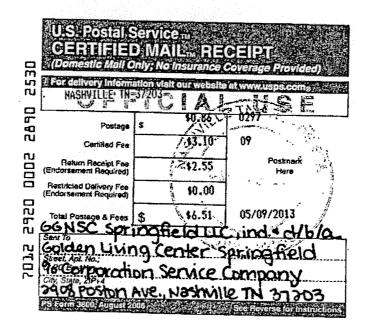
Richard R. Rooker, Clerk

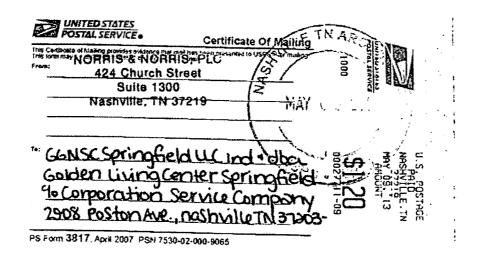
#### SENDER: COMPLETE THIS SECTION COMPLETE THIS SECTION ON DELIVERY Complete items 1, 2, and 3. Also complete Item 4 if Restricted Delivery is desired. ☐ Agent ☐ Addresses Print your name and address on the reverse so that we can return the card to you. B. Received by (Printed Name) C. Date of Delivery Attach this card to the back of the mailpiece, -10-13 or on the front if space permits. ☐ Yes D. Is delivery address different from item 17 1. Article Addressed to: □ No If YES, enter delivery address below: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road 3. Service Type Cartified Mail ☐ Express Mail Springfield, TN 37172 ☐ Registered ☐ Return Receipt for Merchandise ☐ C.O.D. 4. Restricted Delivery? (Extra Fee) ☐ Yes 2. Article Number 7011 2000 0002 5915 3914 (Transfer from service label) PS Form 3811, February 2004 102595-02-14-1540 : Domestic Return Receipt

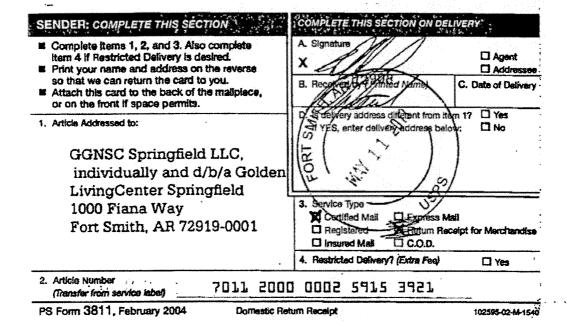


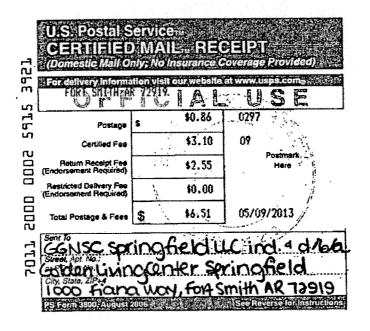
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#### SENDER: COMPLETE THIS SECTION A. Signature Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. ☐ Agent ☐ Addressee Print your name and address on the reverse so that we can return the card to you. C. Date of Deliv Attach this card to the back of the malipiece. or on the front if space permits. D. Is delivery address different from item 1? 1. Article Addressed to: If YES, enter delivery address below: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 3. Service Type 7160 Dallas Parkway, Suite 400 X Certified Mail DExpress Mail Beturn Receipt for Merchandise Plano, TX 75024-7111 ☐ Registered C.O.D. ☐ Insured Mail 4. Restricted Delivery? (Extra Fee) ☐ Yes 2. Article Number 7012 2920 0002 2890 2547 (Transfer from service label) PS Form 3811, February 2004 **Domestic Return Receipt** 102595-02-M-1540 ;



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JOHN L. NORRIS John@norrislaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

## NORRIS & NORRIS PLC

FIFTH THIRD CENTER
424 CHURCH STREET, SUITE 1300
NASHVILLE, TENNESSEE 37219-2301
(615) 627-3959
(615) 627-3962 FAX
WWW.norrislaw.net

BARBARA MOSS
Of Counsel
bmoss@norrislaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debble Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 1000 Fianna Way Legal Dept. — MD 4824 Fort Smith, AR 72919-9008

Golden Gate National Senior Care LLC, individually and d/b/a Golden Living c/o Corporation Service Company 2908 Poston Avenue
Nashville, TN 37203-1312

Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE: Patient whose treatment is at issue:

Name, address and relationship to the patient of the claimant:

Jimmie Marie Clay

Date of Birth: January 5, 1919

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay 197 London Lane Franklin, TN 37067

Dear Golden Gate National Senior Care LLC, individually and d/b/a Golden Living:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against Golden Gate National Senior Care LLC, individually and d/b/a Golden Living arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant medical authorization, with attached Order Appointing Co-Conservators, permitting

Golden Gate National Senior Care LLC, individually and d/b/a Golden Living May 9, 2013 Page - 2 -

each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS PLC

John L. Norris

JLN/mll

Enclosures: As stated.

# LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

The below is a list of all healthcare providers to whom notice is being given, pursuant to T.C.A. Section 29-26-121(a), of a potential claim for medical malpractice:

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road Springfield, TN 37172	GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, AR 72919-0001
GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate National Senior Care LLC, individually and d/b/a Golden Living c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Administrative Services LLC individually and d/b/a Golden Ventures 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008
GGNSC Administrative Services LLC individually and d/b/a Golden Ventures c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	Golden Gate Ancillary L.L.C, individually and d/b/a Golden Innovations c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 7160 Dallas Parkway, Suite 400 Plane, TX 75024-7111	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 1000 Fianna Way Fort Smith, AR 72919-9008

GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008	GGNSC Holdings LLC, individually and d/b/a Golden Horizons c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312
GGNSC Holdings LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Equity Holdings LLC 1000 Fianna Way Fort Smith, AR 72919
GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201	

# HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

#### RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

You are authorized to furnish this information even though the confidentiality of the information may be protected by Federal or State laws and regulations.

The purpose of the release of Jimmie Marie Clay's records is for review by GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC, for which I am granting my authorization. THIS AUTHORIZATION DOES NOT PERMIT YOU TO DISCUSS THESE MATTERS WITH ANY REPRESENTATIVE OF GGNSC SPRINGFIELD LLC, INDIVIDUALLY AND D/B/A GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, INDIVIDUALLY

AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 59-2013

William S. Clay Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FOR DAVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF: 2013 MAY -8 PH 2: 34

NO. 13P-671

JIMMIE MARIE CLAY

## ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 7. Upon review of the affidavit filed by Christina Norris, attorney for Petitioners, Ms. Norris is awarded \$ \_\_\_\_\_\_\_ for her services, to be charged to the property of Jimmie Marie Clay to be paid as funds may become available.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\(\big(\ldot 0.0.00\) for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds—may consider the come available.

ENTERED this \_ 9 44 day of May, 2013.

RANDY KENNEDY, JUDO

APPROVED FOR ENTRY:

NORRIS & NORRIS PLC

Christina Norris No. 6599

John L. Norris No. 6007

Fifth Third Center

424 Church Street, Suite 1300/

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filer in my office

orginal instrument filed in my office day of 1000 2013
RIOUAFILA FOOKEROER

Deputy Clerk

#### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37076

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

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## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

TO: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that Letters issue:

THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation thereto as may be deemed necessary and proper and in accordance with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to the Property Management Plan approved and adopted by the Court and make the necessary increases to the bond as required and at such time, make and prepare a Final Settlement of your accounts in this matter. HEREIN FAIL NOT.

Order of MAY S 2013

Order of Tennessee Court

STATE OF TENNESSEE COURT

COUNTY OF DAVIDSON COURT

Richard R. Rooker, Clerk



US Postal Service
CERTIFIED MAIL—RECEIPT
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FOR Smith AR 72919 - 9008

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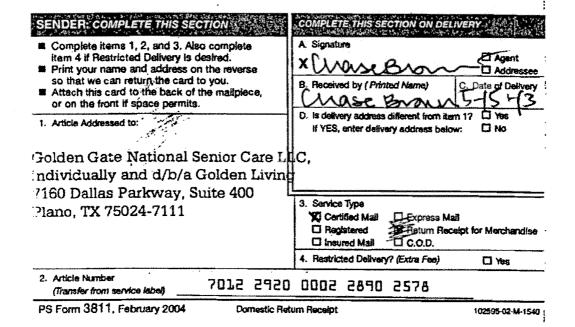
FOY Smith AR 72919 - 9008

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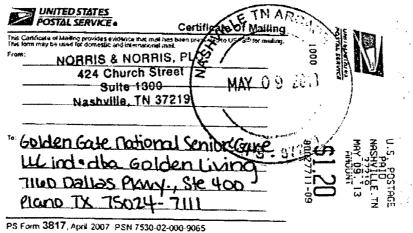
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JOHN L NORRIS John@norrislaw.net

Certified as a Civil Trial Specialist by the National Board of Trial Advocacy

CHRISTINA NORRIS chris@norrislaw.net

## NORRIS & NORRIS PLC

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424 CHURCH STREET, SUITE 1300
NASHVILLE, TENNESSEE 37219-2301
(615) 627-3959
(615) 627-3962 FAX
www.norrislaw.net

BARBARA MOSS of Counsel bmoss@norrislaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debbie Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

GGNSC Holdings LLC, individually and d/b/a Golden Horizons
1000 Fianna Way
Legal Dept. – MD 4824
Fort Smith, AR 72919-9008

GGNSC Holdings LLC, individually and d/b/a Golden Horizons c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312

GGNSC Holdings LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111

RE: Patient whose treatment is at issue:

Jimmie Marie Clay Date of Birth: January 5, 1919

Name, address and relationship to the patient of the claimant:

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay

197 London Lane Franklin, TN 37067

Dear GGNSC Holdings LLC, individually and d/b/a Golden Horizons:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against GGNSC Holdings, LLC, individually and d/b/a Golden Horizons arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant

GGNSC Holdings, LLC, individually and d/b/a Golden Horizons May 9, 2013

Page - 2 -

medical authorization, with attached Order Appointing Co-Conservators, permitting each provider receiving notice to obtain complete medical records from each other provider.

Sincerely yours,

NORRIS & NORRIS DLC

hn L. Norris

JLN/mll

Enclosures: As stated.

# LIST OF HEALTH CARE PROVIDERS TO WHOM NOTICE IS BEING GIVEN PURSUANT TO T.C.A. SECTION 29-26-121(a)

RE: Patient: Jimmie Marie Clay DOB: January 5, 1919

The below is a list of all healthcare providers to whom notice is being given, pursuant to T.C.A. Section 29-26-121(a), of a potential claim for medical malpractice:

GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 104 Watson Road Springfield, TN 37172	GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield 1000 Fianna Way Fort Smith, AR 72919-0001
GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield c/o Corporation Service Company 2908 Poston Avenue Nashville, TN 37203-1312	GGNSC Springfield LLC, individually and d/b/a GoldenLivingCenter Springfield 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111
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Golden Gate National Senior Care LLC, individually and d/b/a Golden Living 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Administrative Services LLC individually and d/b/a Golden Ventures 1000 Fianna Way Legal Dept. – MD 4824 Fort Smith, AR 72919-9008
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Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations 7160 Dallas Parkway, Suite 400 Plano, TX 75024-7111	GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services 1000 Fianna Way Fort Smith, AR 72919-9008

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GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201	

## HIPAA COMPLIANT AUTHORIZATION TO RELEASE MEDICAL INFORMATION

TO: GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC, individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC

RE: JIMMIE MARIE CLAY

DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

I, William S. Clay, Co-Conservator and next friend of Jimmie Marie Clay, hereby authorize you to release to any representative of GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC any and all medical, care, evaluation or treatment records, including medical records received from other healthcare providers, nursing home records, clinic notes, office notes, doctors' progress reports, activities of daily living records, intake/output forms, clinical tests, respiratory care records, medication sheets, operative information, therapy records, all records prepared by nurses or nursing assistants, all nursing notes and information, transfer forms, ER information, consultations, radiology reports and/or X-rays, diagnostic testing reports, lab reports, pathology specimens and reports, admission information, pharmacy information, billing information and any other items or documents dealing with Jimmie Marie Clay's care, treatment and/or evaluation.

You are authorized to furnish this information even though the confidentiality of the information may be protected by Federal or State laws and regulations.

The purpose of the release of Jimmie Marie Clay's records is for review by GGNSC Springfield LLC, individually and d/b/a Golden LivingCenter Springfield; Golden Gate National Senior Care LLC, individually and d/b/a Golden Living; GGNSC Administrative Services LLC individually and d/b/a Golden Ventures; GGNSC Holdings LLC, individually and d/b/a Golden Horizons; Golden Gate Ancillary LLC, individually and d/b/a Golden Innovations; GGNSC Clinical Services, LLC, individually and d/b/a Golden Clinical Services; and GGNSC Equity Holdings LLC, for which I am granting my authorization. THIS AUTHORIZATION DOES NOT PERMIT YOU TO DISCUSS THESE MATTERS WITH ANY REPRESENTATIVE OF GGNSC SPRINGFIELD LLC, INDIVIDUALLY AND D/B/A GOLDEN LIVINGCENTER SPRINGFIELD; GOLDEN GATE NATIONAL SENIOR CARE LLC, INDIVIDUALLY

AND D/B/A GOLDEN LIVING; GGNSC ADMINISTRATIVE SERVICES LLC, INDIVIDUALLY AND D/B/A GOLDEN VENTURES; GGNSC HOLDINGS LLC, INDIVIDUALLY AND D/B/A GOLDEN HORIZONS; GOLDEN GATE ANCILLARY LLC, INDIVIDUALLY AND D/B/A GOLDEN INNOVATIONS; GGNSC CLINICAL SERVICES, LLC, INDIVIDUALLY AND D/B/A GOLDEN CLINICAL SERVICES; AND GGNSC EQUITY HOLDINGS LLC OUTSIDE THE PRESENCE OF MY ATTORNEY.

All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5.9-2013

SIGNED: William S. Clay Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FORD AVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF:

RICHARD ENCOMER. CLERK

2013 MAY -8 IPM 2: 34

JIMMIE MARIE CLAY

NO. 13P-671

### ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 7. Upon review of the affidavit filed by Christina Norris, attorney for Petitioners, Ms. Norris is awarded \$\_\_\_\_\_\_\_\_ for her services, to be charged to the property of Jimmie Marie Clay to be paid as funds may become available.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\\\\ \begin{align\*} \left( \oldot \old

ENTERED this 8th day of May, 2013.

RANDY KENNEDY, JUDG

APPROVED FOR ENTRY:

NOBRIS & NORRIS PLC

Christina Norris No. 6599

John L. Norris No. 6007

Fifth Third Center 424 Church Street, Suite 130

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filed in my office

HICHAFT A ACOU

Dopuly Clark

### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37076

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

Christina Norris

SEVENTH CIRCUIT COURT OF DAVIDSON COUNTY, TENNESSEE

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

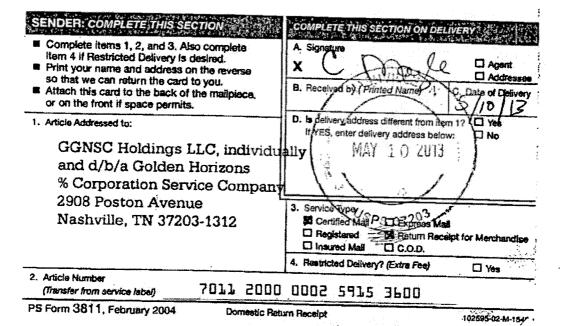
IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that

THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation thereto as may be deemed necessary and proper and in accordance with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to the Property Management Plan approved and adopted by the Court and time, make and prepare a Final Settlement of your accounts in this matter. HEREIN FAIL NOT.

WITNESS, Right DFR Scher, Clerk of the Probate Court, at Office, this Court of MAY 8 1011 Richard R. Rooker, Clerk Without Bond Court of DAVIDSON COURT D.C.

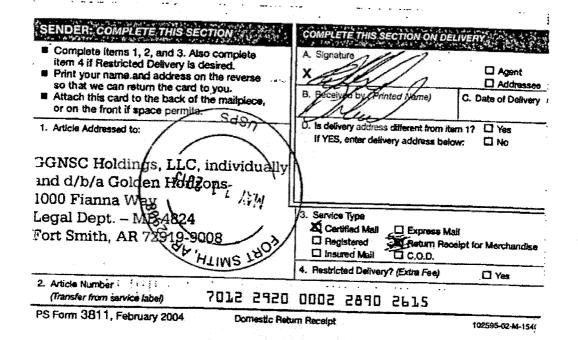
I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the person in my office at Nashville, Tennessee, this day of the lates of the lates

Richard R. Rooker, Clerk

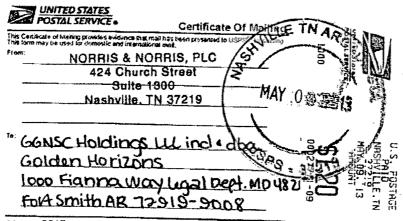


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PS Form 3817, April 2007 PSN 7530-02-000-9065

#### SENDER: COMPLETE THIS SECTION Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. A. Signature Print your name and address on the reverse ☐ Addressee so that we can return the card to you. B. Received by ( Printed Name) Attach this card to the back of the mailpiece, or on the front if space permits. D. Is delivery address different from item 1? 1. Article Addressed to: If YES, enter delivery address below: GGNSC Holdings, LLC, individually and d/b/a Golden Horizons 7160 Dallas Parkway, Suite 400 3. Service Type Plano, TX 75024-7111 OK Certified Mail ☐ Express Mell ☐ Registered X Return Receipt for Merchandise Insured Mail □ C.O.D. 4. Restricted Delivery? (Extra Fee) ☐ Yes 2. Article Number (Transfer from service label) PE45 0P85 5000 05P5 5407

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PS Form 3817, April 2007 PSN 7530-02-000-9065

PS Form 3811, February 2004

JOHN L NORRIS john@norrislaw.net

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CHRISTINA NORRIS chris@norrislaw.net

NORRIS & NORRIS PLC

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BARBARA MOSS Of Counsel bmoss@norristaw.net

Paralegals:

Kristen Mazzanti Melissa Ledford Debbie Wells

May 9, 2013

## CERTIFIED MAIL - RETURN RECEIPT REQUESTED

GGNSC Equity Holdings LLC 1000 Fianna Way Fort Smith, AR 72919

GGNSC Equity Holdings LLC c/o Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street Little Rock, AR 72201

RE:

Patient whose treatment is at issue:

Jimmie Marie Clay

Date of Birth: January 5, 1919

Name, address and relationship to the patient of the claimant:

William S. Clay, Co-Conservator and Next Friend of Jimmie Marie

Clay

197 London Lane Franklin, TN 37067

Dear GGNSC Equity Holdings LLC:

I represent William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, and am his authorized agent. On behalf of Jimmie Marie Clay, William S. Clay, Co-Conservator and Next Friend of Jimmie Marie Clay, is asserting a potential claim for medical malpractice against GGNSC Equity Holdings LLC arising out of care provided to Jimmie Marie Clay at Golden LivingCenter Springfield from October 29, 2007 through July 26, 2012. Jimmie Marie Clay suffered injuries as a result of the care negligently rendered to her, including a Stage IV pressure sore to her left hip, injuries sustained on or about July 12, 2012 and injuries sustained in a fall on or about July 24, 2012. William S. Clay is a son of Jimmie Marie Clay.

Attached to this letter is a list of all health care providers to whom notice is being sent pursuant to T.C.A. § 29-26-121(a). Also attached is a HIPAA compliant medical authorization, with attached Order Appointing Co-Conservators, permitting each provider receiving notice to obtain complete medical records from each other provider.

GGNSC Equity Holdings LLC May 9, 2013 Page - 2 —

Sincerely yours,

NORRIS & NORRIS PLO

Joyn L. Norris

JLN/mll

Enclosures: As stated.

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DATE OF BIRTH: January 5, 1919 SOCIAL SECURITY NO.: 409-30-2749

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All records obtained pursuant to this authorization shall be copied by the party obtaining them and a numbered copy shall be furnished to my counsel, John L. Norris, Fifth Third Center, 424 Church Street, Suite 1300, Nashville, Tennessee 37219, within ten (10) days after the records are obtained through the use of this authorization.

I understand this authorization may be revoked in writing at any time, except to the extent that action has been taken in reliance on this authorization. Should I desire to revoke this authorization, I must send written notice to the above-named health care providers. Unless otherwise revoked, a copy of this authorization shall be deemed as sufficient as the original for purposes of releasing the records and information. This authorization, including copies, shall be valid for one year from the date set forth below.

I understand that I am not required to sign this authorization.

The above-named health care provider(s) will not condition treatment, payment, enrollment or eligibility for benefits on whether I provide this authorization.

I understand that if I authorize the release of Jimmie Marie Clay's health information to a recipient who is not legally required to keep it confidential, the information may be re-disclosed and may no longer be protected by federal or state privacy laws.

A copy of the Order appointing me Co-Conservator of Jimmie Marie Clay is attached to this Authorization as is a copy of the Letters of Conservatorship.

I have had the opportunity to read and consider the contents of this authorization. I confirm that the contents are consistent with my direction.

DATE: 5-9-2013

SIGNED: William S. Clay Co-Conservator and next friend of Jimmie Marie Clay

IN THE SEVENTH CIRCUIT COURT FOED AVIDSON COUNTY, TENNESSEE

IN THE MATTER OF THE CONSERVATORSHIP OF:

ARHARD ROSSMER, CLERK

NO. 13P-671

JIMMIE MARIE CLAY

## ORDER APPOINTING CO-CONSERVATORS

This matter was heard on May 8, 2013, on the Petition filed by Petitioner's three (3) adult children to appoint Petitioners Joe Allen Clay and/or William S. Clay as Co-Conservators for their mother, Respondent Jimmie Marie Clay.

Based upon the facts stated in the sworn Petition, the sworn Report of Carol Cantrell M.D., the Report filed by attorney John C. Long as Guardian ad Litem, and statements of counsel, the Court finds:

- (1) Respondent Jimmie Marie Clay (Ms. Clay"), whose domicile is Davidson County, was properly served with process by the Guardian ad Litem.
  - (2) Ms. Clay, age 94, is bedbound and is not verbally responsive.
- (3) Ms. Clay is fully disabled and is in need Court assistance pursuant to Tenn. Code Ann. §§ 34-1-126; and 34-1-101(7). She is unable to make decisions about her physical well-being, her medical treatment, her relocation, or her financial affairs.
- (4) Ms. Clay receives Medicaid/TennCare long-term care benefits; her monthly Social Security benefits (\$1,025.00) and VA pension (\$90.00) are used to pay her monthly care expenses.
- (5) Joe Allen Clay and/or William S. Clay are appropriate persons to serve as their mother's Co-Conservators to make all necessary decisions on her behalf.

## IT IS THEREFORE ORDERED, ADJUDGED, AND DECREED:

- Joe Allen Clay and/or William S. Clay are appointed as Co-Conservators for Respondent Jimmie Marie Clay, with authority to act either individually or together; Letters of Conservatorship shall be issued to Joe Allen Clay and/or William S. Clay.
- 2. The requirement of bond is waived in accordance with Tenn. Code Ann. § 34-1-105(b)(2).
- 3. Inventory, Property Management Plan, and annual accountings are also waived pursuant to Tenn. Code Ann. § 34-1-111(h)(1). The Co-Conservators shall file an annual status report with the Probate Clerk.
- 4. Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to make all personal and healthcare decisions on behalf of Respondent, including the right to communicate with Respondent's medical and mental health providers, to consent to or to refuse any medical or mental health treatment and hospitalization, and to admit or discharge Respondent to or from any hospital or healthcare facility.
- 5. Further, Co-Conservators Joe Allen Clay and/or William S. Clay are authorized to advocate for Respondent's health care needs, to obtain any and all information governed by the Health Insurance Portability and Accountability Act of 1998 (HIPAA), 42 U.S.C. 1320d and 45 CFR 160-164, to examine Respondent's identifiable and otherwise confidential health information, and any medical or mental health-related records, and to disclose this information to third persons as necessary to protect or advocate for Respondent's interests.

- 6. Next, Co-Conservators Joe Allen Clay and/or William S. Clay have full authority over Respondent's property and financial matters, including the right to make decisions about all of Respondent's financial affairs and to bring any cause of action on behalf of Respondent Jimmie Marie Clay.
- 8. Based on the affidavit filed by attorney Chad Long, Mr. Long is awarded \$\( \big( \long \text{U} \cdot \frac{\text{ou}}{\text{out}} \) for his services as Guardian ad Litem, which is charged to the property of Respondent Jimmie Marie Clay as funds may become available.

ENTERED this \_ 9 44 day of May, 2013.

RANDY KENNEDY, JUI

APPROVED FOR ENTRY:

NOBRIS & NORRIS PLC

Christina Norris No. 6599

John L. Norris No. 6007 Fifth Third Center

424 Church Street, Suite 1300

Nashville, TN 37219

(615) 627-3959

Attorneys for Petitioners

I hereby certify that this is a true copy of original instrument filed in my office

FIGURE AND

Debuty Clark

#### CERTIFICATE OF SERVICE

I hereby certify that on May 8, 2013, a copy of the foregoing Order was sent by U. S. mail, to:

Joe Allen Clay 204 Claudia Dr. Old Hickory, TN 37138

William S. Clay 197 London Lane Franklin, TN 37078

Richard Lee Clay 3041 Quail Court Greenbrier, TN 37073

John Chadwick Long 130 South Water Ave. Gallatin, TN 37066 Guardian ad Litem

Christina Norris

SEVENTH CIRCUIT COURT OF DAVIDSON COUNTY, TENNESSEE

## LETTERS OF CONSERVATORSHIP

Estate of Jimmie Marie Clay

Docket No. 13P671

To: JOE ALLEN CLAY AND/OR WILLIAM S. CLAY:

IT APPEARING to the Court that JIMMIE MARIE CLAY is in need of the appointment of a Fiduciary to manage the personal, mental, medical and financial affairs of this respondent and you having qualified according to law, and the Court having ordered that

THEREFORE, you, JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, are empowered to take full authority over the personal, mental and medical care of this person and to enter upon their property, to take into your possession, for the use and benefit of the aforementioned respondent, the assets of the respondent. Further, you are empowered to bring such suits or actions in relation with your duties, submit an Inventory within sixty (60) days of your appointment and file Annual Accountings pursuant to the statute, unless waived by this Court. Further, you must adhere to make the necessary increases to the bond as required and at such matter. HEREIN FAIL NOT.

WITNESS, Right dDR wher Clerk of the Probate Court, at office, this court of MAY 2013

Order of MAY 2013

Richard R. Rooker, Clerk Without Bond COURT

STATE OF TENNESSEE COURT

COUNTY OF DAVIDSON COURT

I, Richard R. Rooker, Clerk of the Probate Court, do hereby certify that the foregoing is a full, true and perfect copy of the Letters of Conservatorship issued to JOE ALLEN CLAY AND/OR WILLIAM S. CLAY, in this matter, and I do further certify that this person(s) is still acting as the court-appointed Fiduciary in this matter, and continues to be entitled to full faith and credit, as it appears from the person in my office at Nashville, Tennessee, this

Richard R. Rooker, Clerk

#### SENDER: COMPLETE THIS SECTION Complete items 1, 2, and 3. Also complete item 4 If Restricted Delivery is desired. ☐ Agent Print your name and address on the reverse ☐ Addressee so that we can return the card to you. Date of Delivery Attach this card to the back of the mailpiece. or on the front if space permits. D. Is delivery address different from item 1? 1. Article Addressed to: If YES, enter delivery address below: □ No GGNSC Equity Holdings LLC % Corporation Service Company 300 Spring Building, Suite 900 300 South Spring Street 3. Service Type Little Rock, AR 72201 Certified Mail Express Mail ☐ Registered Feltum Receipt for Merchandise ☐ Insured Mail ₩C.O.D. 4. Restricted Delivery? (Extra Fee) ☐ Yes 2. Article Number 7011 2000 0002 5915 3662 (Transfer from service label) PS Form 3811, February 2004 Domestic Return Receipt 102596-02-M-1540 ;

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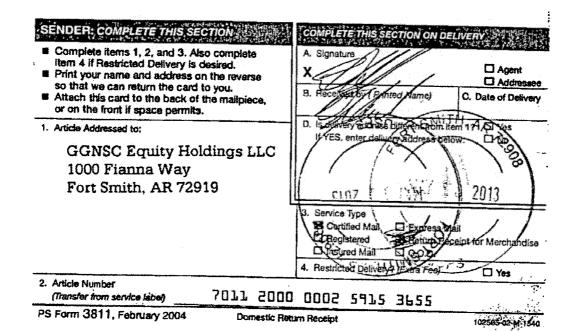
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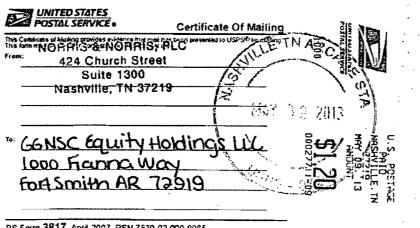
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NORRIS & NORRIS PLC. Fifth Third Center 424 Church Street, Sulte 1300 Nashville, Tennessee 37219

GGNSC Holdings LLC, individually and d/b/a c/o Corporation Service Company Golden Horizons

2908 Poston Avenue Nashville, TN 37203-1312

